

Early Infant Diagnosis and Treatment of HIV – a focus on delivery and the neonate

1



30TH MAY 2019
PRESENTED BY: ASSOC. PROFESSOR
KARL TECHNAU

Context

2

2002-2007: Sd Nevirapine, maternal ART as of 2004/2005 if CD4<200

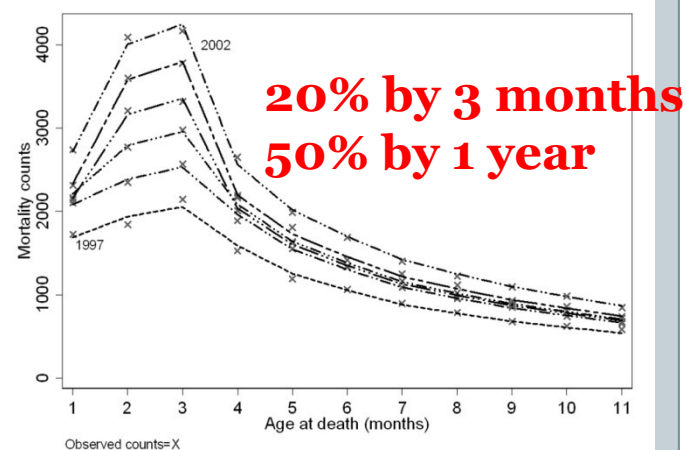
2008-2010: Mum: CD4<200 → HAART; CD4≥200 → AZT from 28 weeks + sd NVP at labor;
Baby: Sd NVP at birth + 7 day AZT. HIV test at 4-6 weeks

April 2010: HAART (≤350 CD4) or AZT from 14 weeks + Infant NVP for 6 weeks or thro' BF

April 2013: HAART(FDC) to all mothers from time of diagnosis to the end of breastfeeding +
Infant 6 weeks NVP

January 2015: HAART (FDC) to all mothers life long, 6-12 weeks NVP to baby, +/- AZT

- Transmission has moved from 20-40% down to 2%
- Early peak of mortality remains
- ART treatment after a diagnosis at birth potentially enables identification of majority of infected newborns



When do we test infants?

3

TESTING FOR HIV-EXPOSED INFANTS



Birth HIV-PCR for all HIV-exposed infants



10 weeks HIV-PCR for all HIV-exposed infants
(who had 6 weeks of NVP or NVP+AZT)



14 weeks HIV-PCR for all HIV-exposed infants
(who had 12 weeks NVP)



Age appropriate test (PCR/RT) 6 weeks after
complete cessation of breastfeeding



Rapid test at 18 months for all HIV-exposed
children



Age appropriate test (PCR/RT) anytime if infant
has missed a test or is symptomatic



USAID
FROM THE AMERICAN PEOPLE



GAUTENG PROVINCE
REPUBLIC OF SOUTH AFRICA



ANNOVA
HEALTH INSTITUTE

Who is exposed?

5

Testing – Identifying who is exposed

6

- Maternal testing at delivery facility important

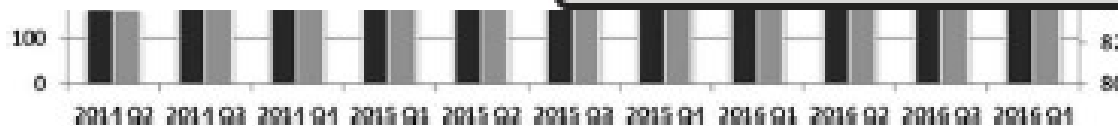
June 2014-
Dec 2016

Women with live births, n=30591

Admission Status:	HIV-negative	HIV-unknown	HIV-positive
n:	23126	601	6864
HIV Test Offered:	16208 (70%)	601 (100%)	
HIV Test Refused/Missed:	1216 (7.5%)	7 (1.2%)	
Tested:	14992	594	
New HIV Positive:	124 (0.8%)	97 (16%)	

221 (3% of Pos) women newly diagnosed at delivery

HIV-positive women, n=7085



Testing dips over holiday periods

Future Work

7

- **INCREASED ID OF EXPOSED INFANTS**
- **REDUCING MATERNAL HIV INCIDENCE**
- **HEU CHILDREN – SPECIFIC NEEDS**

How do we test?

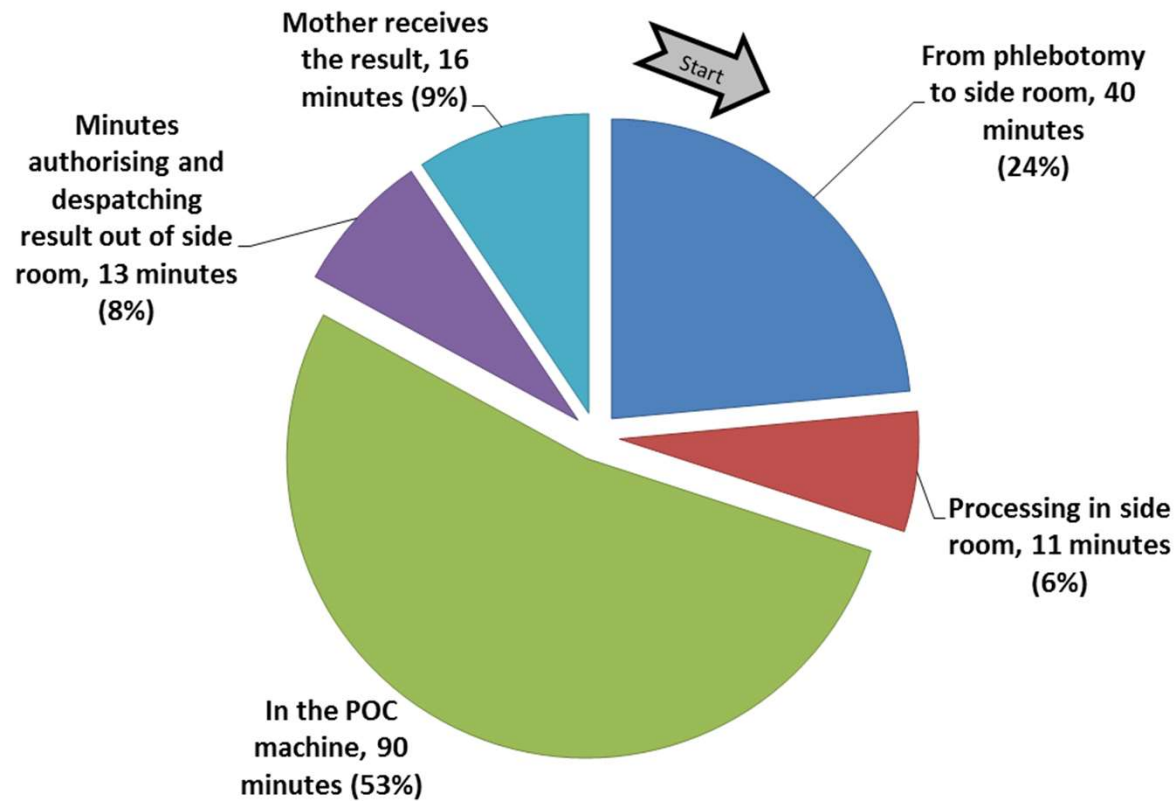
8

EID and POINT of CARE Testing



Results – Phlebotomy to result

10



	Median minutes	Inter-quartile range
From phlebotomy to side room	40 (24%)	25-58
Processing in side room	11 (6%)	8-14
In the POC machine	90 (53%)	90-90
Authorising and despatching result out of side room	13 (8%)	7-26
Mother receives the result	16 (9%)	10-27

- Result release POC PCR: 2.6 hours (95% CI: 2.3-3.1)
- Result release LAB PCR: 43 hours (95% CI: 31-54)

Results – Receipt of result (Any result)

11

	Total N=3970	POC and LAB PCR N=2238	LAB PCR only N=1732	p
Mother received neonates results, n (%)	3076 (77.5)	2155 (96.3)	921 (53.2)	<0.0001
Median age in days (IQR)	1 (1-8)	1 (0-1)	10 (9-13)	<0.0001

- Significantly fewer mothers received the result in absence of POC PCR
- Mothers received results significantly later in the absence of the POC PCR
- Time to ART initiation significantly shorter with POC PCR

Results – POC test performance

12

		LAB PCR Test				
		Positive	Negative	Indeterminate	Error	Total
POC PCR Test	Positive	30	2	0	0	32
	Negative	0	2088	3	7	2098
	Error	0	108	0	0	108
	Total	30	2198	3	7	2238

Sensitivity (95% CI)	Specificity (95% CI)	PPV (95% CI)	NPV (95% CI)
1 (0.88-1)	0.999 (0.99-1)	0.938 (0.79-0.99)	0.995 (0.99-1)
McNemar p	0.157	Kappa	0.967

- 30 infected neonates in the POC/LAB PCR group
- Two false positive results – in both cases the POC rerun result was negative as well as concurrent LAB PCR

Results – POC test performance

13

- Error rate: $108/2238 \Rightarrow 4.8\%$ (95%CI: 3.9-5.7)
- Rerun of the same sample:
 - 103 (95.4%) of the samples could be rerun
 - 94/103 (91.3%) yielded a result on the second run
 - ✦ 9 cases repeat error – 3 rerun – all negative
 - ✦ 94 negative results
- Reduction of error rate: affecting 108 \Rightarrow 11 neonates
 - Final error rate 11/2238 (0.5%)
- Specific issues: power failures, pipette supply, dust from renovations, faulty individual modules
- Exclusion of these modifiable factors reduced the error rate to 1.9-3.4%
- Rerunning error samples and identifying repetitive problems early can reduce error rates

Future Work

14

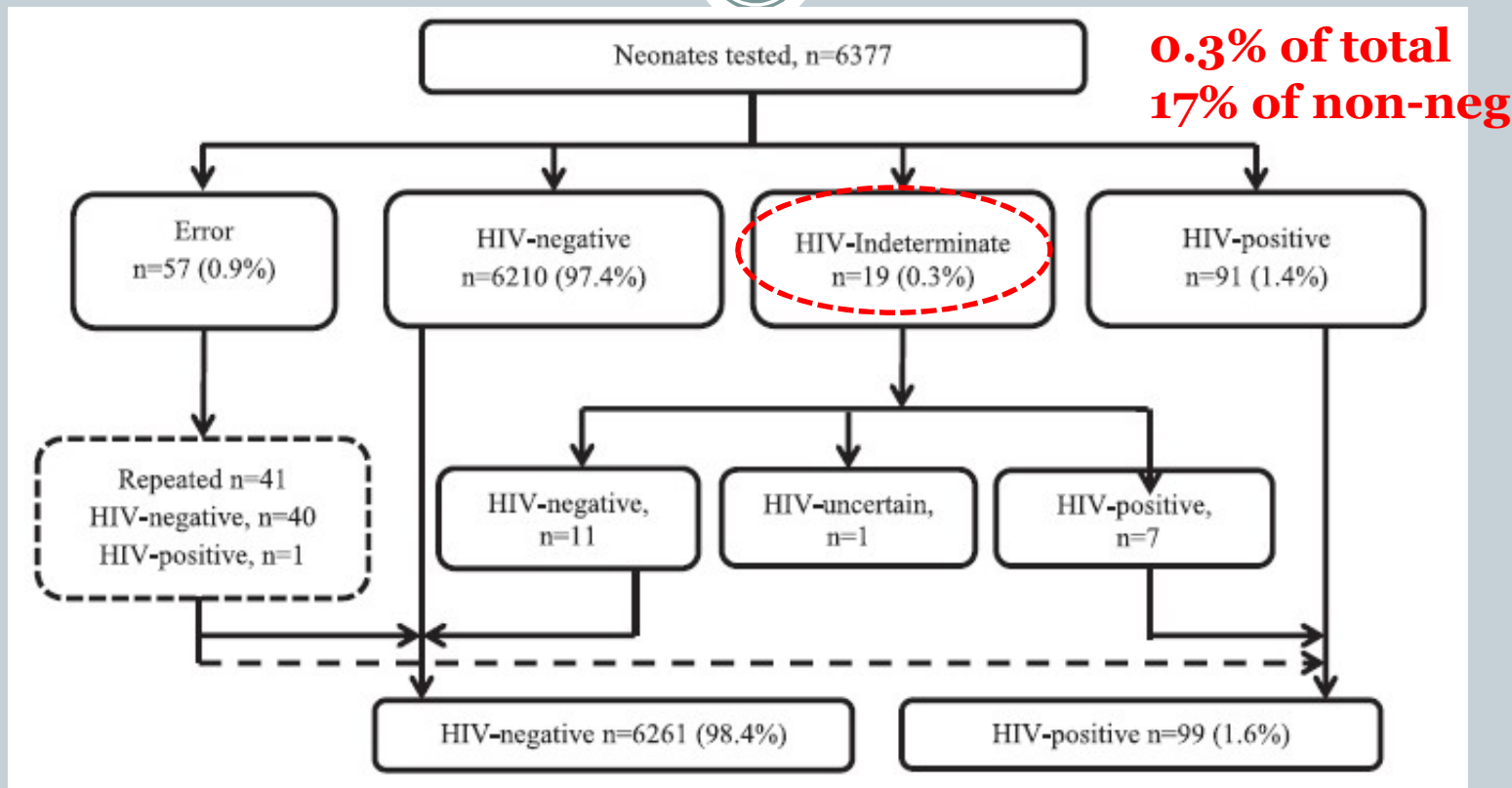
- **IDENTIFYING HOW TO IMPROVE ACCESS TO TESTS AND RESULTS**
- **ELUCIDATE ROLE OF POC IN CARE**

Is the diagnosis clear?

15

Diagnostic phase of management

16



- 20-25% of birth non-negative cases (positive and indeterminate) require more than one further confirmatory test.

Outcome of INDETERMINATES

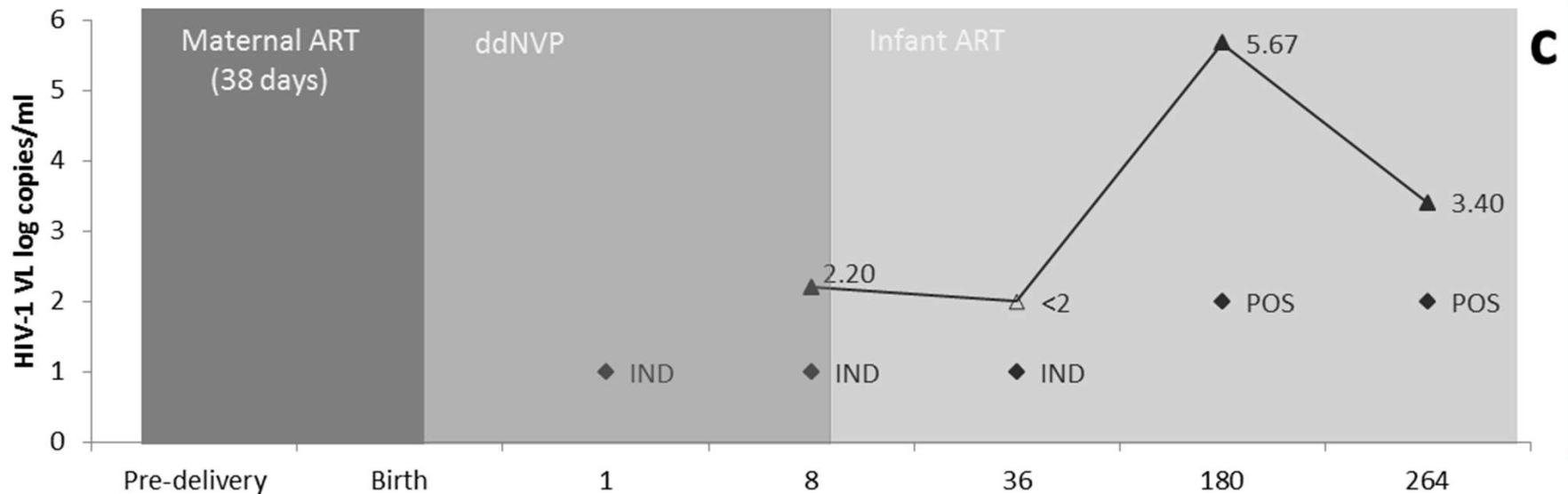
Table 1. Steps in establishing final HIV infection status of 102 infants with non-negative birth PCR results

First PCR result		Second PCR result		Earliest VL result		Final HIV infection status, [†] N (%)					
Birth HIV PCR test	n	Result	n	Age (days) [‡]	n	VL (log RNA copies/ml) [‡]	Age (days) [‡]	Positive	Uncertain	Negative	LTFU
Positive	78	Positive	68	2 (1–9)	66	4.48 (3.4–5.4)	2 (1–8)	68			
		Indeterminate	4	4, 4, 8, 40	4	<1.3 ^e , 2.58, 4.09, 4.56	68, 8, 4, 4	3	1 ^e		
		Not tested	6		3	4.30, 5.04, 6.62	1, 4, 94	3			3
		Total birth HIV PCR positive results							74 (95)	1 (1)	
Indeterminate	24	Positive	5	6 (6–12)	4	2.29, 2.96, 3.05, 4.45	12, 0, 6, 1	5			
		Indeterminate	7	8 (6–24)	6	TND ^l , 3.1 (3.0–3.2)	2 (1–8)	4 ^{a–d}	2 ^{g,i}		1
		Negative	11	7 (3–11)	10	TND (n = 9), 2.82 ^f	8 (4–10)		2 ^{f,h}	8	1
		Not tested	1		0						1
Total birth HIV PCR indeterminate results							9 (38)	4 (17)	8 (33)	3 (13)	
Total, n = 102							83 (81)	5 (5)	8 (8)	6 (6)	

Example Case 1

18

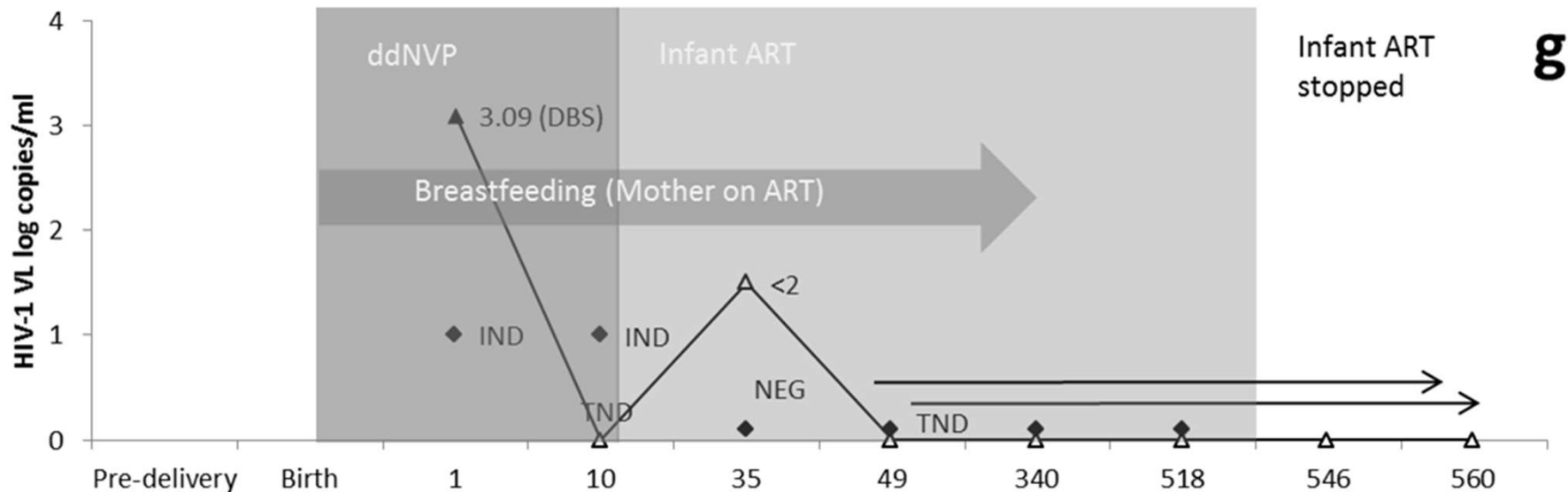
- Initial indeterminate results
- Low grade VL 158
- Later clear positive results



Example Case 2

19

- Clear VL on one sample only
- Subsequent negative or indeterminate results
- Case remains uncertain



Future Work

20

- **CRITICAL TO MONITOR
DIAGNOSTIC OUTCOMES
IN CONTEXT OF DTG
INTRODUCTION**
- **WHILE TRANSMISSION
DROPS DIAGNOSIS
BECOMES MORE
DIFFICULT**

Neonates who have HIV

Results return and ART start

22

- Different settings must be anticipated:
 - Majority (~80% of cases) generally well out-patient setting
 - 3-5% are born to mothers who are sick/incapacitated
 - 2% of mothers are <20 years old
 - 15-20% neonates are admitted (e.g. premature, congenital infections, etc) and possibly very ill



ART start

23

- Three drug regimen according to standard guidelines
 - AZT, 3TC, NVP
- A switch to Lopinavir/rit after 42 weeks PMA
- Simple techniques may assist early adherence, e.g. Careful labelling of syringes



Stages of the Grief Cycle

"NORMAL"
FUNCTIONING

RETURN TO
MEANINGFUL LIFE



GUILT

Shock
and Denial

- Avoidance
- Confusion
- Fear
- Numbness
- Blame

FEAR

Anger

- Frustration
- Anxiety
- Imitation
- Embarrassment
- Shame

DEPRESSION

Depression and
Detachment

- Overwhelmed
- Blihs
- Lack of energy
- Helplessness

- Empowerment
- Security
- Self-esteem
- Meaning



Acceptance

- Exploring options
- A new plan in place

Dialogue and
Re-gaining

- Reaching out to others
- Desire to tell one's story
- Struggle to find meaning for what has happened

Adapted from Kübler-Ross, 1969

Adherence and follow-up

25

- Both maternal and neonatal adherence critical
- Neonatal adherence
 - Who is available to help? Is the mother alone?
 - Teaching a family member other than mother
 - What are the mother's mobility and work plans?
 - Has a caregiver been identified if the mother plans to return to work?
- Maternal adherence
 - Ideally at same location
 - Emphasis of maternal wellbeing and support critical
 - Support with disclosure
 - Mothers who silence themselves – phenomena assoc. with trauma around caring for a “sick” child

Retention in Care – the journey

26

- Active early follow-up required with phoning and home visits
- Close links with surrounding facilities
 - Stigma may prevent mothers from accessing local facilities willingly
- Careful documentation important – in case of unanticipated mobility or need to move to another site
- Awareness of common problems affecting mothers:
 - Relationship problems
 - Mental health and substance abuse
 - Physical illness
 - Social disruption and travelling

Key Message

27

**NEWBORN ART REQUIRES
EXPERT MEDICAL INPUT IN
SOME CASES BUT THE
MAJORITY OF INFECTED
INFANTS ARE WELL
ENOUGH FOR EARLY
DISCHARGE AND
OUTPATIENT MANAGEMENT**

Future Work

28

- **IMPROVED FORMULATIONS FOR INFANTS – KALETRA SYRUP STILL A BARRIER TO ADHERENCE**
- **COMPREHENSIVE PSYCHO SOCIAL SUPPORT**
 - **REDUCTION IN INFANT MORTALITY STILL A MAJOR CHALLENGE**

ART start

29

- 88 infant diagnosed at birth
- 12 month mortality 14%
- 12 month retention 78%
- 12 month VL <400cps/ml 71%
- VL pre-ART >100,000 predictive of 3-4 times higher mortality

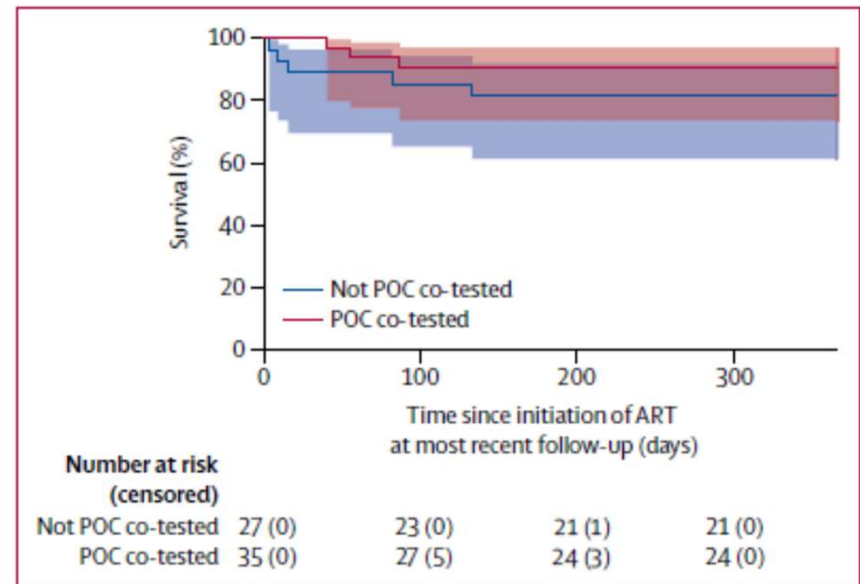
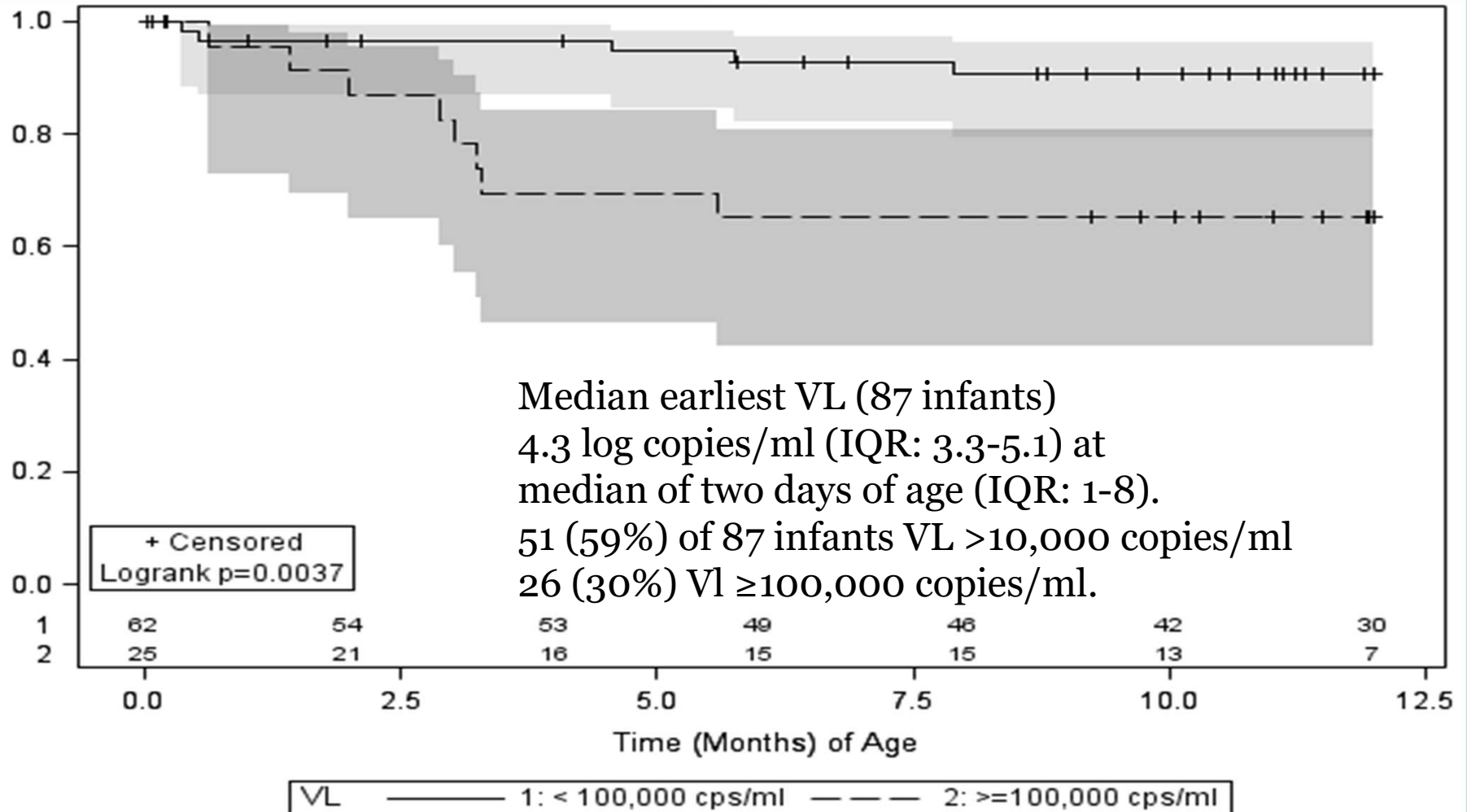


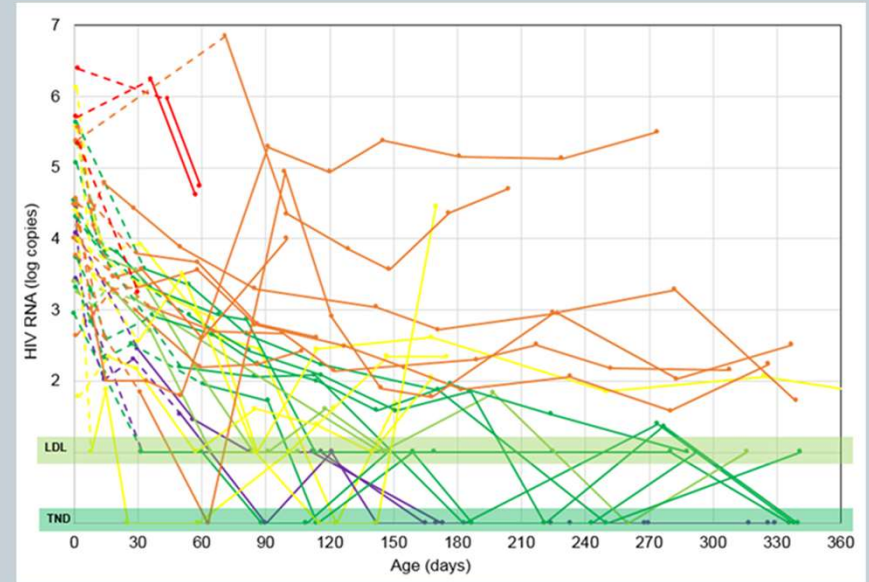
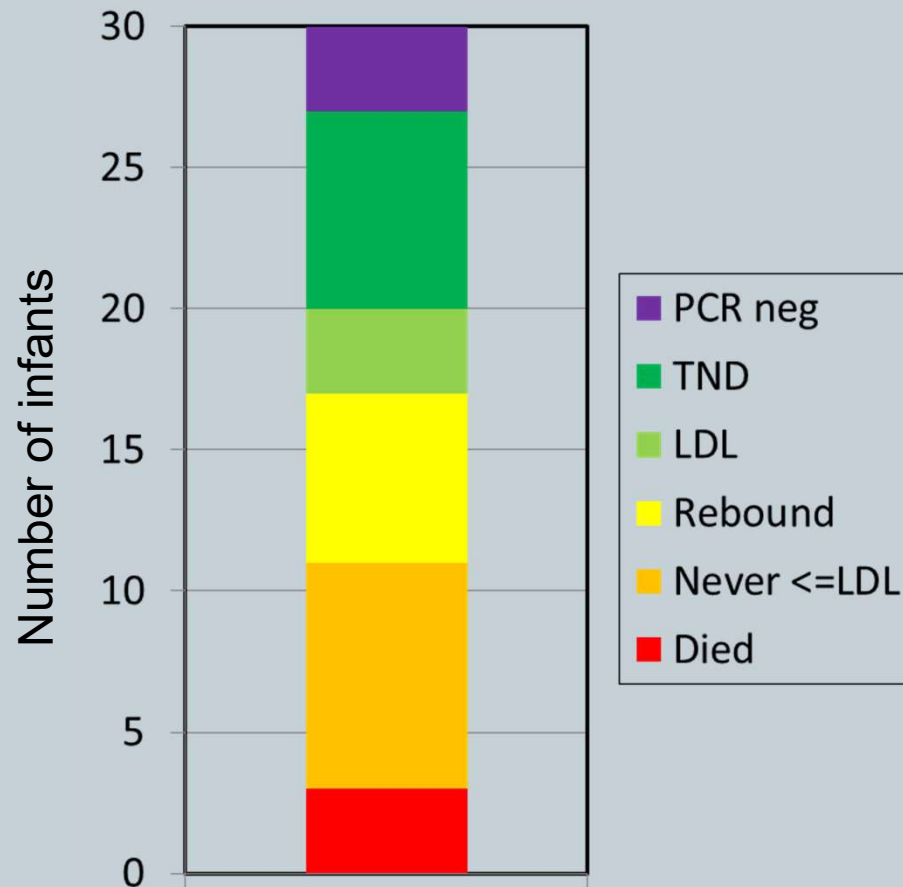
Figure 2: Mortality in era 3 (universal testing) in the HIV-infected infants who were co-tested (n=35) and those who were not co-tested (n=27) with POC testing
Lines show number at risk and shaded areas show 95% CI. ART=antiretroviral therapy. POC=point of care.

Baseline VL a critical factor for retention/survival

30



Patterns of virologic response to early treatment over the first year of life



From: Prof L Kuhn et al: Treatment of acute HIV infection in neonates. Presented at CROI 2017.

Future Work

32

- **EFFORTS TO URGENTLY FURTHER REDUCE MORTALITY**
 - **FURTHER CURE RESEARCH**
 - **ANTIBODIES FOR PREVENTION AND TREATMENT**

Conclusion

33

- Great achievements to reduce MTCT
- Diagnostic dilemmas remain and set to increase
 - New drugs, e.g. DTG likely to have a positive effect on MTCT but require monitoring
 - Sentinel sites critical to evaluate implementation
 - POC diagnostics hold promise but implementation needs further cost effectiveness work
- Treatment success in infants modest
 - ART access still a problem
 - Better strategies needed

Important future work

34

- Sentinel surveillance
 - Introduction of DTG and other drugs
 - ✦ EID effect
 - ✦ HIV infected infants and treatment
 - ✦ Birth defects
 - ✦ HEU
 - Cohort
- Targetted research
 - Cure work and improved treatment strategies
 - Better prevention

ESRU Team

35

- Prof Coovadia, ESRU Research Unit Team
- Dr Renate Strehlau, Dr Kate Braithwaite, Dr Megan Burke,
- Sr Shini Moaisi, Sr Moipone Piliso, Sr Naazley Pandor, Sr Nkele Selepe, Ms Bahle Matrose, Ms Sonjiha Kahn
- Ms Zanele Msomi, Ms Puleng Gabela, Mr Malose Lebelo, Mr Vincent Kgakgadi, Ms Liezel Pienaar
- Ms Rebecca Kgame, Ms Lebogang Phakathi, Mr Mduduzi Linganisa, Mr Peace Khanyile, Ms Jabu Dlamini
- NICD – Prof Gayle Sherman, Prof Caroline Tiemessen and team
- IeDEA – Mary-Ann Davies, Andreas Haas

Thank you!

36

ANY QUESTIONS



References

- Bourne DE, Thompson M, Brody LL, Cotton M, Draper B, Laubscher R, Abdullah MF, Myers JE: **Emergence of a peak in early infant mortality due to HIV/AIDS in South Africa.** *AIDS* 2009, **23**:101-106.
- Marston M, Becquet R, Zaba B, Moulton LH, Gray G, Coovadia H, Essex M, Ekouevi DK, Jackson D, Coutsooudis A, et al.: **Net survival of perinatally and postnatally HIV-infected children: a pooled analysis of individual data from sub-Saharan Africa.** *Int J Epidemiol* 2011, **40**:385-396.
- Obimbo EM, Mbori-Ngacha DA, Ochieng JO, Richardson BA, Otieno PA, Bosire R, Farquhar C, Overbaugh J, John-Stewart GC: **Predictors of early mortality in a cohort of human immunodeficiency virus type 1-infected african children.** *Pediatr Infect Dis J* 2004, **23**:536-543.
- Lilian RR, Kalk E, Technau KG, Sherman GG: **Birth diagnosis of HIV infection in infants to reduce infant mortality and monitor for elimination of mother-to-child transmission.** *Pediatr Infect Dis J* 2013, **32**:1080-1085
- Technau K, Kuhn L, Coovadia A, Carmona S, Sherman G: **Improving Early Identification of HIV-Infected Neonates with Birth PCR Testing in a Large Urban Hospital in Johannesburg, South Africa: Successes and Challenges** *J Int AIDS Soc* 2017.
- Technau K, Haeri Mazanderani A, Kuhn L, et al. Prevalence and outcomes of HIV-1 diagnostic challenges during universal birth testing – an urban South African observational cohort. *Journal of the International AIDS Society.* 2017;Forthcoming 2017.
- Porter M, Davies MA, Mapani MK, Rabie H, Phiri S, Nuttall J, Fairlie L, Technau KG, Stinson K, Wood R, et al.: **Outcomes of Infants Starting Antiretroviral Therapy in Southern Africa, 2004-2012.** *J Acquir Immune Defic Syndr* 2015, **69**:593-601
- Technau KG, Kuhn L, Coovadia A, Murnane PM, Sherman G: **Xpert HIV-1 point-of-care test for neonatal diagnosis of HIV in the birth testing programme of a maternity hospital: a field evaluation study.** *Lancet HIV* 2017.
- Technau KG, Strehlau R, Patel F, Shiau S, Burke M, Conradie M, et al. Twelve month outcomes of HIV-infected infants identified at birth: An observational cohort study at one maternity site in Johannesburg, South Africa. *Lancet HIV.* 2018; 5(12):e706-14.